Delaware

Healthcare-Associated Infections in Hospitals

2012 Fourth Quarter Cumulative Report

(January 1, 2012 – December 31, 2012)

Prepared by the Delaware Division of Public Health

HEALTHCARE-ASSOCIATED INFECTIONS

Health-care associated infections (HAI) are infections that are caused by a wide variety of bacteria, fungi, and viruses during the course of receiving medical care. These infections are acquired while a patient is in the hospital (or other healthcare facility); the pathogens associated with these infections are not present or incubating at the time of the patient's admission to the facility [1]. As described in the Delaware "Hospital Infections Disclosure Act" [2], hospitals in Delaware are required to report the following 3 infections acquired while receiving care in a medical facility:

1. Catheter-Associated Urinary Tract Infections (CAUTI)

A urinary tract infection (UTI) is an infection involving any part of the urinary system including the urethra, bladder, ureters, and kidney. Urinary catheters are tubes inserted into the bladder through the urethra to drain urine. One out of four hospitalized patients receives urinary catheters during their hospital stay.

2. Central Line-Associated Bloodstream Infections (CLABSI)

A "central line" or "central catheter" is a tube that is placed into a patient's large vein or artery, usually in the neck, chest, arm, or groin. The catheter is often used as a device to draw blood, to give fluids, or to administer medications and may not be removed for several weeks. A bloodstream infection can occur when bacteria or other germs travel down a "central line" and enter the blood. CLABSI is a laboratory confirmed bloodstream infection in a patient with a central line at the time of (or within 48 hours prior to) the onset of symptoms, and in whom the bloodstream infection is not related to an infection from another site.

3. Surgical Site Infections (SSI)

A surgical site infection is an infection that occurs after surgery in the part of the body where the surgery took place. Surgical site infections can sometimes be superficial infections involving the skin only while others are more serious and can involve tissues under the skin, organs, or implanted material.

REPORTING HAIS IN DELAWARE

All hospitals in Delaware report HAIs using the National Healthcare Safety Network (NHSN). The NHSN is a secure, internet-based surveillance system managed by the Centers for Disease Control and Prevention (CDC) that is available for use by all types of healthcare facilities in the United States, including acute care hospitals, long term acute care hospitals, psychiatric hospitals, rehabilitation hospitals, outpatient dialysis centers, and ambulatory surgery centers. CLABSI and CAUTI infections occurring in adult, pediatric, and neonatal intensive care units (ICUs) are reported by infection preventionists at acute care hospitals in Delaware and are included in this report. SSIs, specifically infection preventionists at acute care hospitals in Delaware and are included in this report.

What statistics are used to report HAIs?

Standardized infection ratios and confidence intervals.

Standardized Infection Ratio (SIR)

The standardized infection ratio (SIR) is a summary measure used to assess the magnitude of HAI

rates in a hospital of interest. Specifically, the SIR is the infection rate observed in the hospital of interest (i.e. observed) divided by the infection rate in a standard population (i.e. expected). The infection rate in the *standard population* is derived from historical data reported to the NHSN by similar healthcare facilities in the United States and standardized by patient care location, hospital affiliation with a medical school, and bed size of the patient care location. For SSI, the SIR includes standardization for procedure type, length of surgery, type of surgical wound, and the patient's physical condition.

Interpretation of the Standardized Infection Ratio (SIR)

- A **ratio of less than 1** means the hospital's HAI rate was lower than the HAI rate of the standard population.
- A **ratio of 1** means that the hospital's HAI rate was similar to the HAI rate of the standard population.
- A ratio of more than 1 means that the hospital's HAI rate was higher than the HAI rate of the standard population.

The SIR is not calculated when the "expected" number of infections is less than 1, which is usually due to small numbers of devices or procedures. Please note that the "expected" number of HAIs does not mean that one would expect to get an infection when hospitalized; rather it reflects the anticipated number of infections based on the frequency of infections previously reported to the NHSN.

Notably, the infection rate of a hospital may change from year to year, which may lead to considerable annual variation in the SIR, particularly for a small hospital. For example, if one HAI were diagnosed in a small hospital for 2009 and three diagnosed in 2010, the SIR for that hospital might change dramatically. Such dramatic variation is less likely to affect the SIRs in larger hospitals. Nonetheless, the overall HAI rate for Delaware may fluctuate as a result of the relatively few hospitals that contribute HAI data compared to states with more or larger hospitals.

Confidence Interval (CI):

In addition to computing an SIR estimate, we also attempt to understand uncertainty of this SIR estimate by looking at its **confidence interval or confidence limits** (i.e. endpoints of the confidence interval). A confidence interval is a range of values that accounts for random error in the estimation of the SIR [3]. The width of the confidence interval depends on the amount of random variability in the data-collection process. We typically calculate 95% confidence intervals (95% Cl), an arbitrary level that specifies the degree of compatibility between the limits of the interval and the data [3]. Wider confidence intervals imply greater imprecision (e.g. a Cl of 1.3 - 2.6 is more precise than a Cl of 1.3 - 10.3), and thus the more uncertain we are when interpreting the SIR estimate [4].

Imprecision should not be interpreted as statistical significance. It is possible to have imprecise CIs that are above or below 1.0 and that do not include 1 (e.g. a CI of 0.8 – 1.5 is more precise than a CI of 1.5–10.3, yet the second CI is "statistically significant" because it does not include 1). Likewise, it is possible to have precise CIs that include 1 and are not statistically significant. Furthermore, statistical significance is not an indication of the validity of the SIR; it is a function of sample size (or total number of device days or procedures) and thus does not tell us whether the SIR reported is a valid estimate based on quality data.

More on interpreting CIs and precision

Interpreting a confidence interval by whether it includes 1 (for relative measures such as an SIR) is no different from interpreting results with a P-value dichotomy (i.e. P less than or greater than 0.05), an approach that has been categorically refuted as having any clinical relevance. To illustrate, an SIR=1.03 with 95% CI of 1.01 - 1.05 is statistically significant but clinically irrelevant (i.e. only a 3%

relative excess of infections compared to the standard population). In contrast, an SIR=3.0 with 95% CI of 0.95 - 6.0 would be deemed not statistically significant despite a point estimate suggestive of a 300% relative excess of infections compared to the standard population. The caveat is that the second SIR estimate is less precise, which is dependent on sample size and number of events. Now compare that SIR to a third SIR=3.0 with 95% CI of 1.0 - 12. Although seemingly "significant," this estimate is more imprecise than the second SIR, as determined by the confidence limit ratio (CLR=Upper Limit / Lower Limit). The CLR for the second estimate is approximately 6, whereas the CLR for the third estimate is 12. The smaller the CLR the more precise the point estimate. A common misinterpretation is that an "imprecise" or "wide" confidence interval includes 1.0, but precision has nothing to do with the inclusion of the null value. The CLRs suggest that the second estimate may be more reliable than the third estimate.

Finally, a frequently lost issue is that the CI merely conveys random variance without accounting for variance related to bias from selection, confounding (unmeasured or residual), misclassification, and sparse-data, which are pervasive. If accounted in analyses, these systematic errors would stretch the CLR to uninterpretable widths. Consequently, interpreting a CI is not straightforward and may be easily misleading if it is suggested that SIRs be interpreted as a function of the CI including 1.

Healthcare-associated infections in Delaware

Data are compiled on a quarterly basis and shared with stakeholders (i.e. hospital infection control practitioners, hospital administrators, the Healthcare-associated Infections Advisory Committee, the public, and many others) on the Delaware Division of Public Health's Healthcare-Associated Infections Web site (<u>http://dhss.delaware.gov/dph/epi/haihomepage.html</u>). Because there are small numbers of procedures performed and devices used that are monitored for HAIs during a 3-month period (i.e. quarter), quarterly reports are cumulative and include data for the past year. For example, the fourth quarter report for 2012 includes HAI data from January through the end of December. Additionally, the first quarter report of 2013 will include HAI data from April 2012 through March 2013. The number of device days (i.e. central line and catheter) and inpatient procedures or devices can result in imprecise SIR estimates. The number of device days and inpatient surgical procedures for each healthcare facility are presented in Table 1 and Figure 1.

	Devic	e days	Inpatient ^a procedures		
Hospital	Central Line	Catheter	Colon	Hysterectomy	
Statewide	29,834	24,561	1,041	994	
A.I. Dupont	7,272	1,477	N/A	N/A	
Beebe	2,801	2,728	98	39	
Christiana	13,151	12,235	635	606	
Kent General	2,769	3,365	153	183	
Milford	858	876	44	28	
Nanticoke	1,213	1,421	54	40	
St. Francis	1,152	1,296	24	61	
Wilmington	618	1,163	33	37	
Median (IQR) ^b	1,991 (932-6,154)	1,449 (1,196-3,205)	54 (33-153)	40 (37-183)	

Table 1. Number of device days and inpatient surgical procedures by healthcare facility, January 1, 2012 – December 31, 2012.

^a Inpatient procedure= A procedure that is performed on a patient whose date of admission the healthcare facility and the date of discharge are different calendar days and takes place during an operation.

^{b.} IQR= Interquartile range is a measure of spread of the data representing the middle 50% of device days or procedures; the lower end of the range is the 25th percentile and the higher end of the range is the 75th percentile. The IQR is less affected by extreme values than other measures of data dispersion (e.g. a large number of colon surgeries are performed at Christiana and the IQR is less affected by this more extreme number of procedures compared to other healthcare facilities in Delaware).

The pie charts below depict the number and the relative proportion of total (inpatient and outpatient; Figure 1) and inpatient only (Figure 2) colon surgeries and inpatient hysterectomies performed at each healthcare facility. There were a total of 1,059 inpatient colon surgeries and 1,307 hysterectomies performed in 2012. Of these, there were 1,041 inpatient colon surgeries and 994 inpatient hysterectomies performed in 2012. More than half of these procedures were performed at Christiana. A smaller proportion of inpatient colon surgeries and hysterectomies were performed at other healthcare facilities in Delaware.

Figure 1. Number of total surgical procedures (inpatient and outpatient) by healthcare facility, January 1, 2012 – December 31, 2012.

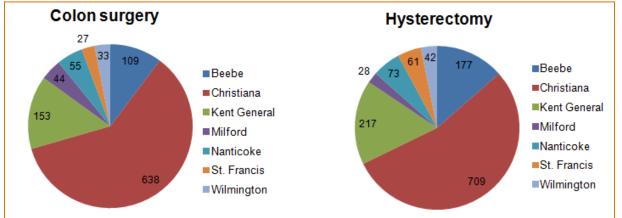
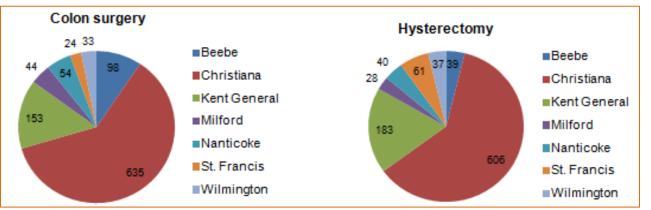
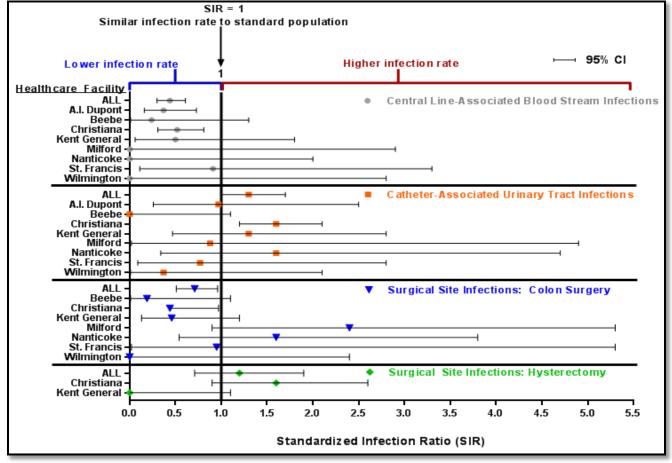


Figure 2. Number inpatient surgical procedures by healthcare facility, January 1, 2012 – December 31, 2012.



The number and SIR of HAIs for hospitals in Delaware are presented in Figure 3 and Table 2. The SIRs in this report are estimated for a 12-month period from January 1, 2012 to December 31, 2012. Quarterly SIR estimates for a 3-month period are not reported because small numbers preclude estimation of SIRs for hospitals with smaller numbers of devices or procedures.

Figure 3. Device- and procedure-related healthcare-associated infections (HAIs) from all intensive care units (ICUs) and acute care hospitals, respectively, reported as standardized infection ratios (SIRs) for hospitals participating in the National Healthcare Safety Network (NHSN) in Delaware, January 1, 2012 – December 31, 2012.



Interpretation of Figure 1: Facilities were not included in this figure when SIRs were not calculated because the Expected number was less than 1 (see Table 1). The symbols in the figure represent the value of the SIR that is estimated using data reported by the hospitals participating in the NHSN and the standard population calculated by CDC. The width of the confidence interval provides an indication of the precision of the SIRs; the wider the confidence interval, the less precise the estimate and the less certain we are regarding the compatibility of the reported data with the estimated SIR. The confidence interval is also affected by factors such as the number of facilities reporting data from the relevant patient care locations and the number of device days or operative procedures that were reported. SIRs estimated for smaller hospitals with fewer device days and observed and/or expected number of infections. Imprecision should not be interpreted as statistical significance. Furthermore, statistical significance is not an indication of the validity of the SIR; it is a function of sample size (or total number of device days or procedures) and thus does not tell us whether the SIR reported is a valid estimate based on quality data. For SIR estimates of HAIs in Delaware, a Confidence Limit Ratio (CLR= Upper Limit/Lower Limit) of greater than or equal to 5.0 is considered to be relatively imprecise.

Table 2. Device- and procedure-related healthcare-associated infections (HAIs) from all intensive care units (ICUs) and acute care hospitals, respectively, reported as standardized infection ratios (SIRs) for hospitals participating in the National Healthcare Safety Network (NHSN) in Delaware, January 1, 2012 – December 31, 2012.

	Central I	_ine-Associa	ated Blo	odstream	Infectior	ns (CLABSI) in ICUs
Hospital	Number of	infections	SIR	95%	6 CL ^a	Interpretation ^b
•	Observed	Expected		Lower ^c	Upper	Data collected by the hospital are more
		_				compatible with an infection rate
						lower/higher/similar to the standard population ^d .
ALL	32	73.0	0.44	0.30	0.61	Lower
A.I. Dupont	8	21.5	0.37	0.16	0.73	Lower
Beebe	1	4.2	0.24	0.01	1.3	Lower
						but too imprecise to interpret accurately
Christiana	19	36.7	0.52	0.31	0.81	Lower
Kent General	2	4.0	0.50	0.06	1.8	Lower
						but too imprecise to interpret accurately
Milford	0	1.3	0		2.9	Lower
Nanticoke	0	1.8	0		2.0	but too imprecise to interpret accurately Lower
NaILLONE	U	1.0	0		2.0	but too imprecise to interpret accurately
St. Francis	2	2.2	0.91	0.11	3.3	Modestly lower
	_		0.0.	••••	0.0	but too imprecise to interpret accurately
Wilmington	0	1.3	0		2.8	Lower
						but too imprecise to interpret accurately
						s (CAUTI) in ICUs
	Number of		SIR	95%		Interpretation ^b
	Observed	Expected		Lower ^c	Upper	Data collected by the hospital are more
						compatible with an infection rate
						lower/higher/similar to the standard population ^d .
ALL	71	54.0	1.3	1.0	1.7	Modestly higher
A.I. Dupont	4	4.1	0.97	0.26	2.5	Modestly lower
						but too imprecise to interpret accurately
Beebe	0	3.3	0		1.1	Lower
Christiana	54	33.6	1.6	1.2	2.1	but too imprecise to interpret accurately
Kent General	54 6	4.7	1.0	0.47	2.1	Higher Modestly higher
Kent General	0	4.7	1.5	0.47	2.0	but too imprecise to interpret accurately
Milford	1	1.1	0.88	0.02	4.9	Lower
			0.00	0.01		but too imprecise to interpret accurately
Nanticoke	3	1.8	1.6	0.34	4.7	Higher
						but too imprecise to interpret accurately
St. Francis	2	2.6	0.77	0.09	2.8	Lower
		07	0.07	0.01	0.1	but too imprecise to interpret accurately
Wilmington	1	2.7	0.37	0.01	2.1	Lower but too imprecise to interpret accurately
	l	<u> </u>	urgical	Sita Infact	ione (SSI	
Surgical Site Infections (SSI) [†] Combined SIR estimates for Colon Surgeries and Hysterectomies						
	Number of		SIR 95% CL ^a			Interpretation ^b
	Observed	Expected	UIIX	Lower ^c	Upper	Data collected by the hospital are more
	UNSERVED	LAPSOLOU		LOWCI	opper	compatible with an infection rate
						lower/higher/similar to the standard
						population ^d .

ALL ^g	61	76.0	0.80	0.61	1.0	Lower		
Beebe	1	5.8	0.00	0.01	0.95	Lower		
Deepe	I	5.0	0.17	0	0.95	but too imprecise to interpret accurately		
Christiana	42	47.7	0.88	0.63	1.2	Lower		
Kent General	4	11.9	0.34	0.09	0.86	Lower		
Rent Ocherar	-	11.5	0.04	0.00	0.00	but too imprecise to interpret accurately		
Milford	7	2.9	2.4	0.97	5.0	Higher		
Nanticoke	5	3.6	1.4	0.45	3.2	Modestly higher		
	_				-	but too imprecise to interpret accurately		
St. Francis	1	2.0	0.49	0.01	2.7	Lower		
						but too imprecise to interpret accurately		
Wilmington	1	1.9	0.52	0.01	2.9	Lower		
						but too imprecise to interpret accurately		
Colon Surgery								
	Number of		SIR			Interpretation ^b		
	Observed	Expected		Lower ^c	Upper	Data collected by the hospital are more compatible with an infection rate		
						lower/higher/similar to the standard		
						population ^d .		
ALL ^g	43	61.0	0.71	0.51	0.96	Lower		
Beebe	1	5.3	0.19	0.01	1.1	Lower		
						but too imprecise to interpret accurately.		
Christiana	26	39.0	0.67	0.44	0.97	Lower		
Kent General	4	8.6	0.46	0.13	1.2	Lower		
	-					but too imprecise to interpret accurately		
Milford	6	2.5	2.4	0.90	5.3	Higher		
Nontiooko	5	3.0	1.6	0 5 4	3.8	but too imprecise to interpret accurately		
Nanticoke	Э	3.0	1.0	0.54	3.8	Higher but too imprecise to interpret accurately		
St. Francis	1	1.1	0.95	0.02	5.3	Modestly lower		
			0.00	0.02	0.0	but too imprecise to interpret accurately		
Wilmington	0	1.5	0		2.4	Lower		
0						but too imprecise to interpret accurately		
			Н	ysterecto				
	Number of Infections SIR 95% CL ^a		CL ^a	Interpretation ^b				
	Observed	Expected		Lower ^c	Upper	Data collected by the hospital are more		
						compatible with an infection rate lower/higher/similar to the standard		
						population ^d .		
ALL ^g	18	14.9	1.2	0.71	1.9	Modestly higher		
Beebe	0	0.55				Numbers too low to calculate SIR ^e		
Christiana	16	10.2	1.6	0.90	2.6	Higher		
Kent General	0	3.2	0		1.1	Lower		
	-		_			but too imprecise to interpret accurately		
Milford	1	0.45				Numbers too low to calculate SIR ^e		
Nanticoke	0	0.58				Numbers too low to calculate SIR ^e		
St. Francis	0	0.99				Numbers too low to calculate SIR ^e		
Wilmington	1	0.39				Numbers too low to calculate SIR ^e		
	·					ande of values that accounts for random		

a. CL=Confidence Limits are the endpoints of the confidence interval, which is a range of values that accounts for random error in the estimation of the SIR.

b. Interpretation of the Standardized Infection Ratio (SIR): A ratio of less than 1 means the hospital's HAI rate was lower than the standard population; A ratio of 1 means that the hospital's HAI rate was similar to the standard population; A ratio of more than 1 means that the hospital's number of reported HAIs was higher than the standard population. Small numbers of devices or procedures contribute to imprecision (wide confidence intervals). Wider confidence intervals imply greater imprecision (e.g. a CI of 1.3 – 2.6 is more precise than a CI of 1.3 – 10.3), and thus the more uncertain we are when

interpreting the second SIR estimate's CI. Imprecision should not be interpreted as statistical significance. Furthermore, statistical significance is not an indication of the validity of the SIR; it is a function of sample size (or total number of device days or procedures) and thus does not tell us whether the SIR reported is a valid estimate based on quality data. For SIR estimates of HAIs in Delaware, a Confidence Limit Ratio (CLR= Upper Limit/Lower Limit) of greater than or equal to 5.0 is considered to be relatively imprecise.

- c. Lower= Lower bound of 95% Confidence Interval only calculated if Observed is greater than 0.
- d. The standard population is derived from historical data reported to the NHSN by similar healthcare facilities in the United States.
- e. Numbers too low to calculate SIR= SIR values are only calculated if the Expected number is greater than or equal to 1.
- f. Surgical site infections include colon surgery and hysterectomy (abdominal approach with uterine removal).
- g. Al Dupont is not included in the statewide SIR estimate for SSIs because colon surgeries and hysterectomies are not procedures routinely performed at this hospital (i.e. pediatric population).

Summary of HAI SIRs in Delaware hospitals

Below is a summary of HAI data for Delaware hospitals presented by infection type. As detailed in the National HAI report, "SIRs are not intended to serve as comprehensive and conclusive HAI measures for all uses and users of HAI data. More specific data at the state and healthcare facility levels are needed to target specific HAI problems and monitor impact of prevention programs" [5].

Central Line-associated Bloodstream Infections (CLABSI)

The standardized infection ratio of CLABSIs for Delaware was lower than the standard population (SIR= 0.44, 95% CL: 0.30, 0.61) and ranged from 0 (95% CL: ---, 2.9) to 0.91 (95% CL: 0.11, 3.3). SIRs for AI Dupont and Christiana were lower than the standard population with estimates that were more precise because of a relatively large number of device days compared with the hospitals that had a small number of device days. Small numbers of devices for 6 hospitals (Beebe, Kent General, Milford, Nanticoke, St. Francis, and Wilmington) contributed to imprecise SIR estimates making it difficult to interpret these estimates accurately.

Catheter-Associated Urinary Tract Infections (CAUTI)

HAI SIRs for DELAWARE

Compared to standard population

<u>Intensive Care Units</u> CLABSI: Lower (0.44, 0.30-0.61) CAUTI: Modestly higher (1.3, 1.0-1.7)

<u>Acute Care Hospitals</u> SSI Colon Surgery: Lower (0.71, 0.51-0.96) SSI Hysterectomy: Modestly higher (1.2, 0.71-1.9)

*Small numbers of devices or procedures contributes to imprecise estimates that are difficult to interpret accurately.

The standardized infection ratio of CAUTIs for Delaware was modestly higher than the standard population (SIR= 1.3, 95% CL: 1.0, 1.7) and ranged from 0 (95% CL: ---, 1.1) to 1.6 (95% CL: Christiana= 1.2, 2.1; Nanticoke= 0.34, 4.7). Small numbers of procedures or devices for nearly all hospitals contributed to imprecise SIR estimates making it difficult to interpret these estimates accurately.

Surgical Site Infections (SSI)

Colon Surgery

The standardized infection ratio of SSIs for colon surgery in Delaware was lower than the standard population (SIR= 0.71, 95% CL: 0.51, 0.96) and ranged from 0 (95% CL: ---, 2.4) to 2.4 (0.9, 5.3). SIRs for SSI varied widely by hospital; some hospital with rates lower than the standard population and other hospitals with rates higher than the standard population. The SIR estimate was lower than the standard population for Christiana. Although SIRs for Beebe, Kent General, and St. Francis were lower than the standard population, they were imprecise and difficult to interpret because reports of 1 or 2 HAIs may change the interpretation of the SIR. Likewise, small numbers of procedures for Nanticoke and Milford resulted in imprecise SIR estimates for these hospitals.

Hysterectomy

The standardized infection ratio of SSIs for hysterectomy in Delaware was modestly higher than the standard population (SIR= 1.2, 95% CL: 0.71, 1.9). SIRs for 1 hospital (Kent General) was 0 with wide confidence intervals making it difficult to interpret these estimates accurately. The SIR for Christiana was higher than the standard population, although modestly imprecise (SIR= 1.6, 95% CL: 0.90, 2.6). SIRs for Beebe, Milford, Nanticoke, St. Francis, and Wilmington were not estimated because the expected number of infections was less than 1.

*Please note when interpreting SIRs

Although some SIRs were reported as higher or lower than the standard population, the confidence intervals provide us with a sense of the uncertainty regarding these estimates making those estimates with wide confidence intervals difficult to interpret accurately. For hospitals with small numbers of procedures or devices, the SIR may change dramatically when comparing HAI rates for the same hospital between quarterly reports. For example, if zero HAI were diagnosed in a small hospital for 2009 (e.g. Observed=0, Expected= 1.3; SIR= 0, 95% CL: ---, 2.9) and two diagnosed in 2010 (e.g. Observed=2, Expected= 1.3; SIR= 1.5, 95% CL: 0.26, 5.1), the SIR for that hospital might change dramatically, although we would still have uncertainty (wide confidence intervals) regarding the SIR.

Delaware's prevention of and response to HAIs

The CDC provides guidelines and tools to the healthcare community to help control and prevent HAIs. Hospitals in Delaware are engaged with the Delaware Division of Public Health, Centers for Medicare and Medicaid Services (CMS), CDC, and regional quality improvement organizations such as Quality Insights to reduce HAIs through prevention, surveillance (monitoring and detection), and response activities.

Delaware HAI prevention activities include but are not limited to:

- Collaborating with local and regional partners (e.g., state hospital associations, professional societies for infection control and healthcare epidemiology, academic organizations, laboratorians and networks of acute care hospitals and long term care facilities to identify specific HAI prevention targets to reduce HAIs in Delaware healthcare facilities.
- 2. Improving overall use of surveillance data to identify and prevent HAI outbreaks or transmission in healthcare settings through development and dissemination of provider and patient education materials.
- 3. Conducting validation studies to assess the quality of HAI data reported in Delaware and providing guidance for reducing HAIs in healthcare facilities.
- 4. Providing consumers access to useful healthcare quality measures through quarterly reports such as this one.

Prevention of HAIs is of the utmost importance among the healthcare and public health communities. Ongoing efforts to reduce the occurrence of HAIs occur routinely at hospitals and other healthcare facilities across Delaware.

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